



HAUCK INVESTMENT MANAGEMENT (SHANGHAI)

Hauck Private Fund Management (Shanghai) Co., Ltd. (hereinafter as “Hauck Shanghai”) established in January 2020 and started to operate in September, 2020. The Legal Representative and General Manager of Hauck Shanghai is Dr. Liang Jian. Hauck Shanghai is a wholly owned subsidiary of Hauck & Aufhäuser Privatbankiers AG (hereinafter as H&A) established in China. H&A was founded in January 1796 as a financial institution under the supervision of the German Federal Financial Supervisory Authority (BaFin) and holds a banking license issued by the BaFin.

Developing asset management business in China is an important part of H&A's future strategy. H&A hopes to provide professional services to more investors by building a localized capital management platform in China, using its investment experience and risk management capabilities accumulated over a century. H&A cherishes the historic opportunity of further opening-up China's financial market to foreign financial institutions and hopes to grow its China business steadily and solidly step by step.

OUR INVESTMENT & RESEARCH TEAM

Portfolio Manager: Long (Brian) YU, CFA



- Joined Hauck Shanghai in May 2021 as Portfolio Manager
- More than 16 year experience in investment and has been PM since 2014
- During the last 6.5 years he achieved compound return of 25%, top 5% in the industry
- Won numerous awards, e.g. the 9th “China Private Equity Golden Bull (Three-Year Equity) Strategy Awards” held by China Securities Journal, the 5th “China Fund Industry Ying Hua Awards-The Best Private Equity Investment Manager in 3 Year” organized by China Fund News
- Worked in China Galaxy Securities, China Everbright PGIM and HSAM (under Sequoia Capital)

Head of research: Hua (Jason) YU. Hua



- Joined Hauck Shanghai in February 2021 as Head of Research
- Ten year experience in Chinese equity market research
- Worked in Westpac, China Everbright PGIM and UBISOFT
- Qualified member of CPA Australia
- Received Master's degree in accounting from Macquarie University in Australia and a Bachelor's degree in Accounting from Fudan University in China.

Analyst: Da HUA



- Joined Hauck Shanghai in September 2020 as Investment Research Analyst
- Previously worked at Allianz Global Investors, FERI Trust GmbH and Hauck & Aufhäuser Privatbankiers in Germany
- Has a deep understanding of investment and risk appetites of European investors especially investors in German-speaking areas

China Desk Researcher from H&A Frankfurt Office: Antony CHENG



- Joined the China Desk team of Hauck & Aufhäuser Privatbankiers AG in September 2021 as Researcher
- Previously, he built up the equity research team at Fosun Hani Securities and Cinda International in Hong Kong, and possesses over 17 year experience in equity and economic research, M&A and institutional sales Germany, Hong Kong, Beijing and New Zealand.
- Received his Master of Economics and Bachelor of Economic & Finance degrees in the University of Hong Kong.